

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

September 02, 2020

Mr. Chris Wendt Northern Lights Distributors, LLC 4221 North 203rd Street Elkhorn NE 68022

Reference: FR2020-0724-0095/E Org Id: 126159

Link Reference: FR2020-0529-0076

1. Milliman Check off the Basics (#3841-NLD-7/23/2020)

Rule: FIN 2210

22 Pages Total Fee: \$245

Dear Mr. Wendt,

The material submitted generally appears consistent with applicable standards. However, we note the following comments:

This review pertains only to the material uploaded on August 28, 2020 as supporting documentation pursuant to your letter dated August 28, 2020.

Reviewed by,

Meredith B. Mesa Meadows Associate Principal Analyst

hrm

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.



NOTE: We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.